



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

JFW

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,206	04/14/2004	Dougan H. Clarke	1.022-A.03

MALLOY & MALLOY, P.A.
 2800 S. W. Third Avenue
 Historic Coral Way
 Miami, FL 33129



CONFIRMATION NO. 5881

FORMALITIES LETTER



OC000000013071445

Date Mailed: 06/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$131 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$581** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$131**

08/16/2004 BABRAHA1 00000071 10624206

01 FC:2001	385.00	OP
02 FC:2051	65.00	OP
03 FC:2202	45.00	OP
04 FC:2201	66.00	OP

- \$86 for 2 independent claims over 3.
- \$45 for 5 total claims over 20.

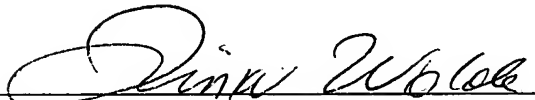
Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dougan H. Clarke
Serial No.: 10/824,206
Filing Date: April 14, 2004
For: UMBRELLA FRAME AND OPERATING SYSTEM

Customer Number 4219
Group Art Unit 3637

2800 S.W. Third Avenue
Historic Coral Way
Miami, Florida 33129

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

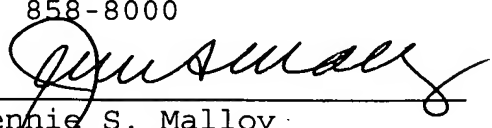
Dear Sir:

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 9 day of August, 2004.

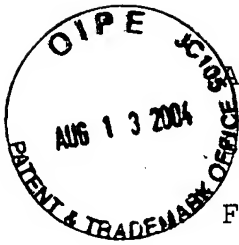
Respectfully submitted,

MALLOY & MALLOY, P.A.
2800 S.W. Third Avenue
Historic Coral Way
Miami, Florida 33129
(305) 858-8000

By: 
Jennie S. Malloy
Reg. No. 37,670

Date: 8-9-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Dougan H. Clarke

Serial No.: 10/824,206

Filing Date: April 14, 2004

For: UMBRELLA FRAME AND OPERATING SYSTEM

Customer Number 4219
Group Art Unit 3637

2800 S.W. Third Avenue
Historic Coral Way
Miami, Florida 33129

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Dear Sir:

SUBMITTAL OF MISSING PARTS

Enclosed herewith for filing, in connection with the above-referenced patent application, are the Declaration and Small Entity Status documents, duly executed by the Applicant, together with a check in the amount of \$581.00 representing the patent application filing fee and surcharge for late filing of same.

Respectfully submitted,

MALLOY & MALLOY, P.A.
Attorneys for Applicant
2800 S.W. Third Avenue
Miami, Florida 33129
(305) 858-8000

By: 

Jennie S. Malloy
Reg. No. 37,670

Date: 8/9/04